

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF NEW YORK

TRANSCRIPT OF TELEPHONIC ORAL ARGUMENT
BEFORE THE HONORABLE MARIAN W. PAYSON
UNITED STATES MAGISTRATE JUDGE

APPEARANCES:

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1 THE CLERK: Doe v. RIT, 21-CV-6761.

2 THE COURT: Okay. Counsel note their appearances for
3 the record, please.

4 MR. BYLER: Yes, Your Honor. My name is Philip Byler
5 from Nesenoff and Miltenberg representing the plaintiff, John
6 Doe.

7 THE COURT: Okay. Good afternoon, Mr. Byler.

8 MR. BYLER: Thank you. Good afternoon.

9 MS. SCHWARTZOTT: Good afternoon, Your Honor.
10 Jennifer Schwartzott of Bond, Schoeneck, and King on behalf of
11 the defendant, Rochester Institute of Technology. Your Honor,
12 I do want to say I also have one of our associates, Stephanie
13 Fedorka, in my office with me.

14 THE COURT: Okay. Thank you. Good afternoon,
15 counsel. And Mr. Rooney, my law clerk, Michael Rooney, is
16 also on the line. Okay.

17 I have reviewed the motion that is pending before the
18 Court to permit the plaintiff to proceed by pseudonym. RIT
19 had -- does not oppose the motion to proceed under a
20 pseudonym. Ms. Schwartzott, you didn't put in any papers,
21 just a letter indicating there was no opposition, correct?

22 MS. SCHWARTZOTT: That's correct, Your Honor.

23 THE COURT: Okay. All right. Mr. Byler, thank you
24 for your motion papers. A couple of observations that I want
25 to make, and one question I want to ask you. It seems to me

1 that the applicable law, Second Circuit controlling authority,
2 is set forth in a decision *Sealed Plaintiff v. Sealed*
3 *Defendant Number 1*, 537 F.3d 185, 190 (2d Cir. 2008). Are you
4 familiar with the test set forth in that decision?

5 MR. BYLER: Well, I am. And I actually, quite
6 frankly, you know, I'm very conversant in this issue. I've
7 been briefing it. One, *Doe v. Purdue*, at the district court
8 level 2017. And I guess I'd like to start out by saying that
9 these cases in this particular area need to be viewed
10 separately because the cases are coming out of a confidential
11 university disciplinary process.

12 And what pseudonym treatment does is to preserve the
13 *status quo ante* of anonymity that, quite frankly, not only the
14 plaintiffs want to preserve, but also universities. I mean, I
15 have a long list of cases recognizing pseudonym treatment
16 where it's just unquestioned.

17 There are three specific decisions where it was discussed
18 at length. *Doe v. Purdue* happened to rely on Second Circuit
19 case law, I think more recent than *Sealed*, but the point is,
20 there's certain factors that are recognized specifically in
21 this area as to why you have pseudonym treatment.

22 And I'm sorry for taking the floor as long as I just did,
23 but if you have reservations about this issue, I'll brief it
24 for you. I just feel very strongly that this is something
25 that should be respected. I deal with universities all the

1 time, and most of them are adamant about having pseudonym
2 treatment. So, position of the defendant in this case was not
3 unexpected because there is a Jane Roe to protect.

4 THE COURT: Okay. Mr. Byler, if I can just --

5 MS. BYLER: I'm sorry I took over.

6 THE COURT: My question was really more specific than
7 that. I didn't see in your brief that you had cited the
8 *Sealed Plaintiff* Second Circuit decision. And I thought,
9 perhaps, you were citing cases that relied on a Third Circuit
10 test. And the Second Circuit decision in *Sealed Plaintiff*
11 sets forth a non-inclusive list of ten considerations to be
12 weighed and I just wanted to assure that you were familiar
13 with that decision.

14 And just to, you know, get your view as to -- seems to me
15 that those are considerations, that the Second Circuit has
16 certainly suggested, should be weighed. And I think that you
17 would argue that certain of those factors deserve more weight
18 than others, and that this -- I really view this as the
19 authority -- stands for the proposition that each of these
20 cases is fact-specific and a determination needs to be made in
21 each individual case as to whether pseudonym treatment should
22 be granted.

23 So there's not -- you know, there's not a rule that says
24 in cases that involve allegations of, you know, non-
25 consensual sexual conduct on a college campus that, you know,

1 all those cases should be -- that the parties and the
2 witnesses should be afforded the opportunity to proceed by
3 pseudonym. I'm not sure there is, you know, a recognized
4 presumption, although I will say that there certainly are, you
5 know, a good number of cases that have granted the plaintiff
6 the opportunity to proceed by pseudonym. There's some cases
7 that go the other way.

8 It seems to me that if you look at authority dealing with
9 challenges to the disciplinary procedures, challenges by
10 somebody who was disciplined as a result of sexual conduct on
11 a college campus, it seems to me that, you know, more cases
12 do -- more courts have granted pseudonym treatment although,
13 again, it's not uniform. So --

14 MR. BYLER: Your Honor, I want to -- if you're
15 concerned about application of Second Circuit law, *Doe v.*
16 *Purdue* was briefed on the theory of applying the Second
17 Circuit test. That's 321 F.3d 339. Even though it was the
18 Northern District of Indiana, I felt it was the best way of
19 getting at the issue.

20 So, you know, *Doe v. Purdue* is a case which does apply
21 Second Circuit factors and finds pseudonym treatment. And in
22 terms of the number of cases, the count that I have been given
23 is it's at least over 80, maybe 90, percent of these cases go
24 pseudonym.

25 It's not, you know -- where there are -- where there is

1 not pseudonym treatment, there are specific facts in the cases
2 such that there was already publicity, you know, about who the
3 plaintiff was.

4 Bill Nungesser's case at Columbia was one such example,
5 because his name was all over The New York Times and so on.
6 You couldn't preserve pseudonym treatment there. Columbia
7 failed to uphold the confidential treatment that was supposed
8 to be held up in the disciplinary process. So, you have some
9 cases where they don't, but there's specific reasons why.

10 The general reason, the general experience with these
11 cases is, the courts are looking at such things as, there's
12 information of intimacy, and a very important factor, injury
13 to the plaintiff from revealing his identity while he's
14 seeking vindication because, again, this is coming out of --
15 there's a process.

16 THE COURT: Let me interrupt you. I think, because I
17 asked you a question at the beginning, perhaps you have the
18 impression that, you know, I have a view that is contrary to
19 the position that you've taken. You know, I don't think
20 there's a basis necessarily to conclude that. I'm just asking
21 a couple questions. So, I don't need to hear a recitation
22 here of your argument.

23 I understand what your argument is. I think you've got
24 some compelling arguments as to why you are making the motion
25 that you are, but I did want to ask you about that, about the

1 consideration that you just mentioned, because I do think it
2 is an important one and it is one that some courts have, I
3 think, placed some significant reliance on if they have denied
4 a motion to proceed with -- in a pseudonym fashion, with a
5 pseudonym, and that is the extent to which the plaintiff has
6 kept, in this case, his identity private.

7 So, I think that's the one consideration that your papers
8 touch on, but as to which I feel like I don't have as much
9 information as I could. I think you're telling me that
10 there's been no publicity about this. It has not been
11 reported in the press, the campus press, or any other press;
12 is that correct?

13 MR. BYLER: As far as I know. I mean, I'm going on
14 the information that's provided me when I drafted the
15 complaint and that never came up. And, as far as I know,
16 with, you know -- it just didn't occur it me to think it would
17 come up. I know there are cases, because I've had them, where
18 there is, you know, publicity in the press or in the college
19 press and that creates issues, but that did not seem to be
20 here at all.

21 THE COURT: Well, let me ask you, you know, I
22 assumed -- and it sounds like, perhaps, incorrectly -- that
23 that's something you would have discussed with your client.
24 Did you talk to your client about whether your client --

25 MR. BYLER: I did discuss with the client --

1 THE COURT: Go ahead.

2 MR. BYLER: I did discuss with the client -- I'm
3 sorry. May I add that I'm a little, you know, passionate
4 about the issue because I'm in an argument with a prominent
5 law professor on this whole issue. And, you know, we have a
6 relationship. So, I'm apologizing because it's spilling over
7 in our conversation. I don't mean that.

8 Yes. I did discuss, because I always do discuss, what
9 happened, you know, when this case was brought, because it was
10 eight months after supposedly the event, and there was
11 nothing, you know, in that eight-month period.

12 So, you had a disciplinary case with decisions in the
13 summer. And so, you know, it did come up that there was any
14 press, but there wouldn't normally have been any press on the
15 college because it was -- given the chronological sequence of
16 events and what had happened, because this was a case where
17 the case got retried and you got a worse result than the first
18 time. And this wasn't one of those cases where, you know --
19 and I've had them, where, you know, there's some pressure
20 being applied within the campus to go after somebody.

21 I had such a case at Columbia. None of that happened
22 here, you know? You had a disciplinary case that was, you
23 know, determined in the middle of the summer. And so, you
24 didn't have a college press looking at it and, certainly,
25 there was no local press. And this is a question I do raise

1 normally, I just don't often remember, but I just know from
2 the sequence of events, that was not something that
3 happened --

4 THE COURT: Mr. Byler --

5 MR. BYLER: -- and absent -- go ahead.

6 THE COURT: Ms. Schwartzott, let me ask you, can you
7 answer that question from RIT's perspective? Do you know if
8 there was any publicity as to this, you know, incident or the
9 disciplinary proceeding surrounding the incident or the actual
10 discipline that was imposed on the plaintiff?

11 MS. SCHWARTZOTT: Your Honor, it's my understanding
12 there was no such publicity, and that it's best RIT knows this
13 information did not get out beyond, you know, beyond the
14 parties involved. But they're not aware of any press, any
15 social media discussions about it, you know, forums on campus.
16 RIT is not aware of any of that.

17 THE COURT: Okay. Mr. Byler, what can you tell me
18 about, if you know, about the degree to which the plaintiff
19 may have disclosed the incident that was at the heart of the
20 discipline and the discipline to, you know, people beyond his
21 close family members?

22 MR. BYLER: I think he is unhappy about the
23 situation. No. He wasn't going around talking about it. He,
24 you know, talked primarily with his father, with Mary Beth
25 Steiner, who is our Title IX consultant of my firm, and me, in

1 order to address the situation.

2 THE COURT: Are you speaking on your own personal
3 knowledge or are you speaking on your assumption that that's
4 the case?

5 MR. BYLER: It's the best knowledge I've got. He has
6 been cut off, because of the discipline, from other contacts
7 within the school. He's not gone around and talked about it
8 other than to, you know, tell his baseball coach he's got an
9 issue, but did not go into the details. So that's what he
10 told me. And then that is consistent with how John Doe has
11 proceeded.

12 THE COURT: Ms. Schwartzott, do you have any
13 information that would suggest that what counsel has
14 represented is not accurate from your understanding?

15 MS. SCHWARTZOTT: I don't, Your Honor. I would,
16 however, just add, so -- two additional to people. There were
17 other students, right? So his -- John Doe's girlfriend --

18 THE COURT: Right.

19 MS. SCHWARTZOTT: -- was brought into this as well as
20 a student witness. So, those two individuals were familiar
21 with and provided -- the student witness provided statements
22 that was contemplated during the disciplinary proceeding. To
23 what extent those individuals told others, I don't know.
24 We're not aware --

25 THE COURT: Okay.

1 MS. SCHWARTZOTT: -- that it was kind of something
2 that was passed along, but those would be the additional
3 people that Mr. Byler didn't mention.

4 THE COURT: Okay.

5 MR. BYLER: By the way, can I mention one case, the
6 *Doe v. Colgate* case? On that --

7 THE COURT: Yeah, I don't need --

8 MR. BYLER: I was just going to say there was a news
9 story concerning the defendant in that case, but not
10 identifying specifically the plaintiff, and the Court said
11 that's not enough to dispense with pseudonym treatment. So I
12 just threw that in to indicate that maybe someone talked to
13 somebody, that's not going to get you the level which undoes
14 the justification for pseudonym treatment. And again, I
15 apologize for being so verbose. I'm sorry.

16 THE COURT: Mr. Byler, I'm not sure that I, you know,
17 disagree with you, although I do think that, under the case
18 law that I find applies to this, that the extent to which the
19 plaintiff had kept the information confidential or, by
20 contrast, or has disclosed it, disseminated it more broadly,
21 is a consideration to weigh. It may not be a consideration
22 that trumps all others, but it is a fair consideration.

23 So, I think the -- you know, I think it's appropriate to
24 ask about that information and to expect that that information
25 will be -- you know, will be provided to the Court.

1 I will accept your representation because I understand
2 that you are representing, not that you just assumed that he
3 cared about keeping it confidential, so he did.

4 I am understanding that you are representing that you had
5 a conversation with him and that you are satisfied, based on
6 that conversation and your representing to the Court, that he
7 discussed it with his family members, that there were
8 witnesses who were involved in the disciplinary proceeding,
9 and that he told his baseball coach that he had an incident,
10 but didn't go into the details, and I'm accepting your
11 representation that, you know, he did not discuss this, you
12 know, more broadly than that.

13 MR. BYLER: That is my representation.

14 THE COURT: All right. I'm going to make my ruling
15 from the bench. I agree with Mr. Byler that I think the
16 weight of authority in cases like this does support
17 plaintiff's application to proceed by pseudonym. And, in that
18 respect, I'm relying on *Doe v. Louisiana State University*,
19 2020 Westlaw 6493768 at page 2, Middle District of Louisiana
20 2020.

21 Quote, the Court recognizes that this particular type of
22 case in which a male student sues a university that found him
23 guilty of committing sexual assault after an allegedly broad
24 and deficient disciplinary proceeding is a target for
25 increased media attention.

1 In these types of cases, courts repeatedly have allowed
2 plaintiffs to proceed under a pseudonym according to cases *Doe*
3 *v. Purdue University*, 321 F.3d 339 at 342 (N.D.I.N. 2017)
4 noting in a case where the complaint set out intimate details
5 regarding plaintiff and Jane Roe's sexual relationship, Jane
6 Roe's allegations of sexual misconduct, and the details of the
7 university's findings that, quote, other courts have permitted
8 plaintiffs alleging similar claims against colleges and
9 universities to proceed anonymously.

10 Collecting cases *Doe v. Colgate University*, 2016 Westlaw
11 1448829 at 34, (N.D.N.Y. 2016). Quote, while the Court is
12 mindful of the high burden a plaintiff must meet to show that
13 anonymity is warranted, the Court takes note that courts
14 across the country have allowed plaintiffs alleging similar
15 crimes against colleges and universities stemming from
16 investigations of sexual assault to proceed anonymously.

17 The Court finds that, particularly in the context of
18 investigating allegations of sexual assault on college
19 campuses, it is imperative that the rights of all parties
20 involved be thoroughly protected in order to properly
21 adjudicate these claims, collecting cases. Still, each
22 situation is different and, quote, must be evaluated on a
23 case-by-case basis, and the factors set forth by the Second
24 Circuit in *Sealed Plaintiff* offer necessary guidance for
25 courts grappling, end quote, with a party's request to proceed

1 under a pseudonym. *Doe v. Colgate University* at page 2. I
2 have considered the factors that are set forth in the *Doe* and
3 the *Sealed Plaintiff* case, the Second Circuit *Sealed Plaintiff*
4 case, some of those considerations, I think, are weightier
5 than others than a case like this.

6 The first factor, let me just get the list out here,
7 whether the litigation involved matters that are highly
8 sensitive and of a personal nature. I think that that factor
9 unquestionably weighs in favor of plaintiff as to all the
10 challenges to the disciplinary procedures.

11 The incident that gives rise to the challenge to the
12 disciplinary procedures involved sexual conduct between a
13 plaintiff and Jane Roe and the incident is plainly sensitive,
14 personal in nature and the revelation of plaintiff and Jane
15 Roe's names would result or certainly their -- it's not
16 speculative to say -- would result in embarrassment, if not
17 humiliation, and, if not, emotional distress to both the
18 plaintiff and to Jane Roe.

19 Factors two and three in my estimation can be considered
20 together. I think they also weigh in favor of the plaintiff,
21 whether identification poses a risk of retaliatory, physical,
22 or mental harm to the parties seeking to proceed anonymously,
23 or, even more critically, to an innocent non-party; three,
24 whether identification presents other harm and the likely
25 severity of those harms including whether the injury litigated

1 against would be incurred as a result of the disclosing of the
2 plaintiff's identity. If disclosure creates risk of harm,
3 disclosure is disfavored, but the risks must be more than
4 speculative claims of physical and mental harm. These
5 factors, in my estimation, weigh in favor of the plaintiff.

6 And as proceeding as a -- with a pseudonym certainly,
7 there is a risk of emotional distress both to the plaintiff
8 who claims that he was unfairly determined to have committed
9 sexual assault that was non-consensual through a flawed
10 process, and also risks emotional distress to the Jane Roe who
11 claims that she was a victim of a non-consensual sexual
12 assault committed by the plaintiff.

13 *In Doe v. Trustees of Dartmouth College*, the court stated
14 at page 6, even most salient to the Court when assessing bases
15 upon which disclosure is feared or sought to be avoided is the
16 victim's interest in anonymity. Should the plaintiff be
17 publically identified, the alleged victim would likely be
18 identified as well. And. In that case, the court found that
19 the alleged victim has a stronger case for anonymity, unlike a
20 litigant who is using the courts, must be prepared to accept
21 public scrutiny that is an inherent part of public trials.
22 The alleged victim is a non-party.

23 So, I consider both the interest of both the plaintiff and
24 I think we're calling her Jane Roe but the alleged victim in
25 this case as well.

1 Here, the plaintiff alleges that, regardless of whether he
2 prevails in this action, revelation of his name would hinder
3 his future academic career prospects resulting in further
4 mental, emotional, and psychological harm because his name
5 would be discoverable by a simple internet search, and public
6 identification could defeat the very purpose of the
7 litigation.

8 I agree that that is a legitimate interest and one which
9 favors disclosure -- favors proceeding by a pseudonym, while
10 the allegations of potential harm are not supported by any
11 expert opinion. I think the concern of harm is sufficiently
12 real and concrete to deserve weight; particularly in this age
13 where -- and I think plaintiff papers note this, but certainly
14 other cases have -- in the internet age, where once something
15 is made public, that disclosure may be out there for a very
16 long time associated with the plaintiff's name.

17 *Doe v. Trustees of Dartmouth College.* The court, in that
18 case, recognized that at pages 5 to 6, 2018 Westlaw 2048385,
19 quote, more significant in this case, plaintiff's argument
20 that public disclosure will subject him to reputational damage
21 and will impair any future educational and career prospects at
22 Dartmouth, regardless of the actual outcome of this action.
23 Such concern is only exacerbated in the internet age which can
24 provide additional channels for harassment and will connect
25 plaintiff's name to Dartmouth's findings and sanction forever,

1 whether or not he is successful in this litigation. So, I
2 find that the first three factors in *Sealed Plaintiff* weigh in
3 favor of the plaintiff.

4 The next factor, whether the plaintiff is particularly
5 vulnerable to the possible harm and disclosure, particularly
6 in light of his age, that is a factor, in my estimation, that
7 does not weigh in favor of the plaintiff. He's an adult. He
8 was an adult at the time this happened, and I'm not aware of
9 any particular vulnerability.

10 Whether the suit is challenging the actions of the
11 government or that of a private party. This involves the
12 action of a private party. I think, technically, that
13 consideration to the extent that it deserves consideration
14 weighs against the plaintiff, but I don't find that to be a
15 very strong consideration.

16 Whether the defendant is prejudiced by allowing the
17 plaintiff to press his claim anonymously, whether any
18 prejudice can be mitigated by the district court. There's no
19 issue of prejudice here. RIT has made clear, the plaintiff
20 has made clear that RIT is aware of the plaintiff's true
21 identity. There's no question about that. So, there's no
22 prejudice that can be shown by RIT, and RIT has not argued to
23 the contrary. That factor weighs in favor of the plaintiff.

24 Whether the plaintiff's identity has, thus far, been kept
25 confidential. I do think that that is a consideration that

1 deserves weight and deserves more weight than some of the
2 other considerations or, in balance, I find that that
3 consideration weighs in favor of the application pending
4 before the Court, the record before the Court, and based upon
5 the representations made by the plaintiff's counsel supports
6 the inference that plaintiff has not disclosed this incident
7 or the discipline in any significant manner, but rather has
8 disclosed it to, quote, family members, people who know about
9 it, have learned about it through -- in the disciplinary
10 process itself.

11 And there's nothing in the record to suggest that the
12 plaintiff has posted anything on social media about it, had
13 made any statements to the press, has broadly disseminated it
14 to large, you know, groups of students or classmates.

15 Whether the public's interest in the litigation is
16 furthered by requiring the plaintiff to disclose his identity.
17 I find that consideration is, frankly, a neutral
18 consideration. I don't think that weighs one way or the other
19 here.

20 Whether, because of the purely legal nature of the issues
21 presented, there is an atypically weak public interest in
22 knowing the litigants' identity. This is not a legal issue.
23 This a factual issue, although I recognize that, you know, at
24 the core, there are some legal questions here with respect to
25 the disciplinary procedures that were utilized, but is it --

1 that factor weighs against the plaintiff, although it is not a
2 particularly significant consideration.

3 Are there other alternative means for protecting the
4 confidentiality of the plaintiff? I don't think there are any
5 realistic mechanisms besides granting pseudonym treatment that
6 would be adequate to protect the confidentiality of the
7 plaintiff.

8 So, weighing those considerations, considering the case
9 law that I have that relates to claims such as the claims made
10 here, I grant the plaintiff's motion to proceed by pseudonym,
11 which is unopposed by RIT, and I will issue an order to that
12 effect later today. Okay. Anything else?

13 MR. BYLER: Thank you, Your Honor. Well, no. Thank
14 you, Your Honor. I just want to say that was an excellent,
15 excellent discussion, and I apologize because you're on top of
16 this in a way that I didn't know and I wouldn't have talked as
17 much if I had known, so please accept my sincere feelings.
18 That was a well-done discussion.

19 THE COURT: Ms. Schwartzott, anything you need to
20 add?

21 MS. SCHWARTZOTT: No, Your Honor.

22 THE COURT: Okay. I think we've got a scheduling
23 order in place. Do we, or do I need to --

24 MS. SCHWARTZOTT: We submitted a proposed plan, Your
25 Honor and -- but we're open to, you know, we laid out --

1 Mr. Byler and I conferred by email because of our various
2 schedules but we were amenable to the dates that we submitted.

3 THE COURT: Okay. I want to find the findings. Did
4 you give that to me? I apologize. I just don't have it at my
5 fingertips.

6 MS. SCHWARTZOTT: Your Honor, we filed it this
7 morning, and then I confirmed with your clerk, Kim, and she
8 indicated that she did see it, but I can shoot it over again
9 if it's helpful.

10 THE COURT: Okay. So you did it this morning? I
11 have a docket sheet that I printed yesterday, so I guess
12 that's --

13 MS. SCHWARTZOTT: Oh, okay.

14 THE COURT: Let me pull it up on the docket sheet.
15 Just bear with me for a minute.

16 MS. SCHWARTZOTT: Sure. And I apologize for that
17 inconvenience, Your Honor. We had -- my assistant was out
18 yesterday, and I was working remote, so we just didn't get it
19 filed until this morning. I apologize.

20 THE COURT: Printing it out. Okay. So, I'm going to
21 require that the parties engage in the mediation process,
22 which is part of our court-ordered process. So, did you talk
23 about when you might be able to agree on a mediator? I don't
24 see that in here.

25 MS. SCHWARTZOTT: No, Your Honor.

1 MR. BYLER: Go ahead, Jennifer, I'm sorry.

2 MS. SCHWARTZOTT: We did not do that yet, Your Honor.
3 We did just put a deadline of when we make that request, but
4 we certainly, if you are open to -- if you want to --

5 THE COURT: Paragraph 1 of the scheduling order will
6 have the mediation deadlines that come out -- that are
7 automatically generated by our plan. I suspect that it will
8 give you a deadline of some time probably end of May, early
9 June, for designating a mediator acceptable to both sides.

10 If one is not designated, then the Court will appoint
11 somebody for you, and I think you'll probably have until some
12 time around the end of July or middle of August to conduct a
13 mediation, but that's kind of going by memory of how long
14 those periods are. But that will be at paragraph 1 of the
15 Court's scheduling order.

16 By report on deposition hours, what do you mean by that?

17 MS. SCHWARTZOTT: So, it's my understanding we have
18 to give an approximation per party of how many hours we need.

19 THE COURT: Well, I mean, I would say to the extent
20 that you are proposing depositions that will exceed the limits
21 within the federal rules, then you'd have to get permission of
22 the Court. So I'm going to assume there's not a problem there
23 unless you come back to me and let me know there is.

24 Amendment of the pleadings. May 30th. Does either side
25 expect to ask to amend the pleadings?

1 MR. BYLER: I don't. This is Mr. Byler. I don't.

2 MS. SCHWARTZOTT: Your Honor, I don't anticipate that
3 as well.

4 THE COURT: Okay. Mandatory disclosures. You set
5 that out in a, sort of, chronological fashion with all of the
6 disclosures being made by August 29th, including supplemental
7 disclosures. That's fine. Discovery to be completed by
8 Halloween. That's okay. Let's see. Oh, and then you've got
9 request for admissions after that. So, is that to say that
10 you would like to, essentially, have an understanding between
11 yourselves that requests for admission will not be considered
12 discovery within the factual discovery deadline?

13 MS. SCHWARTZOTT: Yes. I guess, Your Honor, the
14 thinking on that was, once we have, you know, the body, the
15 universe, if there are requests that can be made that can
16 alleviate issues for trial and come to an agreement on things
17 that we would have that opportunity by that deadline.

18 MR. BYLER: Yeah. Also, I mean -- also, you know,
19 the request for admission would, you know, come up in terms of
20 any pretrial dispositive motions, but that's farther out. But
21 putting it where it is, you have an ample opportunity to, you
22 know, deal with the discovery record so you can do requests
23 for admission. Nothing is more frustrating when people rush
24 out with a request for admission and there's really nothing in
25 the discovery to support it, to make it clear, to make it

1 specific.

2 THE COURT: That's fine. I think generally if one
3 sets a fact discovery deadline, one would expect that that
4 would include requests for admission. So I just wanted to
5 make sure I understood that you're essentially proposing that
6 all other discovery, factual discovery, be completed by the
7 end of October with the exception of requests for admission
8 and that deadline for that would be November 14th. That's
9 okay.

10 Expert witnesses, that is, expert witnesses on behalf of
11 both sides, to be identified in and reports prepared by
12 December 5th. Is that the proposal?

13 MS. SCHWARTZOTT: Yes, Your Honor.

14 MR. BYLER: Yes, Your Honor.

15 THE COURT: Okay. And then, summary judgement
16 motions, February 1?

17 MR. BYLER: Yes, Your Honor.

18 MS. SCHWARTZOTT: Yes.

19 THE COURT: Okay. That sounds fine. I'm going to
20 build in a further status conference. So what -- we'll do
21 that probably some time in late October. Do you want me to
22 give you a date now or do you want me just to build it into
23 the schedule?

24 MS. SCHWARTZOTT: Yes, Your Honor. I have a trial
25 that starts the end of September that might carry into that

1 first week of October, the third week of October --

2 THE COURT: How about October 25th at 10?

3 MS. SCHWARTZOTT: That would be perfect. That's fine
4 with defendant.

5 THE COURT: Okay.

6 MR. BYLER: October 25th is good with plaintiff. I'm
7 sorry.

8 THE COURT: Okay. I'll build that in.

9 The last thing I want to say is, if you have any discovery
10 disputes, and you'd like to try to resolve them informally,
11 I'm happy to do that, but I require two things; number one,
12 that you -- and you can email me a letter, but when you ask
13 for a conference to address discovery disputes, I want you to
14 lay out the dispute with enough specificity so that I can
15 understand it and be prepared to be helpful at the conference.

16 So, don't just tell me that you have 25 disputes and you'd
17 like the Court's assistance. Tell me, you know, we'd like to
18 address the following issue. This is, you know, the
19 plaintiff's view. This is the defendant's view. And the
20 other thing the letter needs to tell me is that you tried to
21 resolve the dispute with one another before bringing it to me,
22 even if you bring it to me informally. So, I don't require
23 that. Some judges require a pre-motion conference. I'm not
24 one of those judges.

25 If you want to file a formal motion, you're free to do

1 that. If you want to try to resolve it informally, I'm happy
2 to have a telephone conference with you to address that. In
3 either event, make sure you confer before bringing it to the
4 Court for resolution, okay?

5 MS. SCHWARTZOTT: Yes, Your Honor. Thank you.

6 MR. BYLER: Yes, Your Honor.

7 THE COURT: Okay. That's all I have. So, I'll take
8 this scheduling plan and convert it into a scheduling order.
9 It will be probably get docketed tomorrow. Okay?

10 MS. SCHWARTZOTT: Thank you very much.

11 MR. BYLER: Yes, Your Honor. Thank you very much,
12 Your Honor.

13 THE COURT: Okay. Anything else?

14 MS. SCHWARTZOTT: I don't believe so.

15 THE COURT: Okay.

16 MR. BYLER: No.

17 THE COURT: Thank you very much. Have a nice day.
18 Take care.

19 MR. BYLER: Thank you very much, Your Honor. You,
20 too. Bye, bye.

21 MS. SCHWARTZOTT: Bye, bye.

22 (Proceedings concluded.)

23

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CERTIFICATE OF TRANSCRIBER

In accordance with 28, U.S.C., 753(b), I certify that this is a true and correct record of the proceedings held in the United States District Court for the Western District of New York before Honorable Magistrate Judge Marian W. Payson on May 3rd, 2022.

s/ Megan E. Pelka, RPR

Megan E. Pelka, RPR

Transcriber